APR 2 5 7002 E

10/002,483

Form PTO-1449 (Substitute)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number CARR-01000US2

Serial/Patent Number

Applicant/Patent Owner Jeffrey W. Carr

APR 3 0 2002

Filing/Issue Date
November 1, 2001

Group Art Unit

MOLOGY CENTER DE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENTS										
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date			
two	1	6,245,202 B1	Jun. 12, 2001	Edamura	204	298.06	Aug. 18, 1999			
	2	6,239,553 B1	May 29, 2001	Barnes	315	111.51	Apr. 22, 1999			
	3	6,239,004 B1	May 29, 2001	Aga	438	455	Aug. 18, 1998			
	4	6,238,587 B1	May 29, 2001	Siniaguine	216	59	Nov. 25, 1998			
	5	6,228,330 B1	May 8, 2001	Herrmann	422	186.05	Jun. 8, 1999			
	6	6 6,218,640 B1 Apr.		Selitser	219	121.52	Jul. 19, 1999			
	7	6,214,161 B1	Apr. 10, 2001	Becker	156	345	Aug. 6, 1998			
	8	6,209,480 B1	Apr. 3, 2001	Moslehi	118	723 I	Jul. 10, 1996			
	9	6,207,924 B1	Mar. 27, 2001	Trassy	219	121.52	Feb. 16, 2000			
	10	6,203,661 B1	Mar. 20, 2001	Siniaguine	156	345	Dec. 7, 1999			
	11	6,200,908 B1	Mar. 13, 2001	Vandamme	438	719	Aug. 4, 1999			
	12	6,194,036 B1	Feb. 27, 2001	Babayan	427	563	Oct. 20, 1998			
	13	6,170,428 B1	Jan. 9, 2001	Redeker	118	723 I	Jul. 15, 1996			
	14	6,153,852	Nov. 28, 2000	Blutke	219	121.59	Feb. 12, 1999			
	15	6,143,129	Nov. 7, 2000	Savas	156	345	Jul. 17, 1998			
	16	6,139,678	Oct. 31, 2000	Siniaguine	156	345	Nov. 20, 1997			
-	17	6,105,534	Aug. 22, 2000	Siniaguine	118	723 EB	Nov. 25, 1998			
	18	6,093,655	Jul. 25, 2000	Donohoe	438	717	Feb. 12, 1998			
	19	6,085,688	Jul. 11, 2000	Lymberopoulos	118	723 I	Mar. 27, 1998			
,	20	6,074,947	Jun. 13, 2000	Mumola	438	689	Jul 10, 1998			
	21	6,073,578	Jun. 13, 2000	Shim	118	723 I	Dec. 4, 1998			
Auro	22	6,068,784	May 30, 2000	Collins	216	68	Apr. 1, 1993			

APR 2 6 2002 BY

RECEIVED

Sheet 2 of 4

10/002,483

APR 3 0 2002

STATRADE!	AAT.	TECHNOLOGY CENTER R3700								
U.S. PATENTS										
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date			
Auo	23	6,056,848	May 2, 2000	Daviet	156	345	Sept. 10, 1997			
1	24	6,040,548	Mar. 21, 2000	Siniaguine	219	121.48	Nov. 25, 1998			
	25	6,030,489	Feb. 29, 2000	Hwang	156	345	Jul. 1, 1999			
	26	6,028,286	Feb. 22, 2000	Wicker	219	121.53	Dec. 30, 1998			
	27	6,017,221	Jan. 25, 2000	Flamm	437	225	May 30, 1997			
	28	6,004,866	Dec. 21, 1999	Nakano	438	459	Feb. 28, 1997			
	29	5,981,392	Nov. 9, 1999	Oishi	438	691	Mar. 26, 1997			
	30	5,965,034	Oct. 12, 1999	Vinogradov	216	68	Oct. 28, 1996			
	31	5,961,772	Oct. 5, 1999	Selwyn	156	345	Jan. 23, 1997			
	32	5,955,383	Sept. 21, 1999	Hwang	438	729	Jan. 22, 1997			
	33	5,944,902	Aug. 31, 1999	Redeker	118	723 AN	Jun. 16, 1998			
1	34	5,942,445	Aug. 24, 1999	Kato	438	691	Mar. 25, 1997			
	35	5,897,712	Apr. 27, 1999	Hanawa	216	68	Jul. 16, 1996			
	36	5,877,471	Mar. 2, 1999	Huhn	219	121.49	Jun. 11, 1997			
	37	5,863,829	Jan. 26, 1999	Nakayoshi	438	459	Nov. 13, 1996			
	38	5,811,022	Sept. 22, 1998	Savas	216	68	Nov. 15, 1994			
	39	5,811,021	Sept. 22, 1998	Zarowin	216	67	Feb. 28, 1995			
	40	5,795,493	Aug. 18, 1998	Bukhman	216	59	May 1, 1995			
-	41	5,767,627	Jun. 16, 1998	Siniaguine	315	111.41	Jan. 9, 1997			
	42	5,688,415	Nov. 18, 1997	Bollinger	219	121.41	May 30, 1995			
1	43	5,676,863	Oct. 14, 1997	Jouvenel	219	121.52	Jul. 12, 1995			
	44	5,650,032	Jul. 22, 1997	Keller	156	345	Jun. 6, 1995			
	45	5,591,068	Jan. 7, 1997	Taylor	451	104	Mar. 13, 1995			
	46	5,563,709	Oct. 8, 1996	Poultney	356	371	Sept. 13, 1994			
	47	5,430,355	Jul. 4, 1995	Paranjpe	315	111.21	Jul. 30, 1993			
Levo	48	5,419,803	May 30, 1995	Mumola	216	38	Nov. 17, 1993			

RECEIVED

Sheet 3 of 4

10/002,483

APR 3 0 2002

(3)	d	10	1002,483			NTED DATON	·			
U.S. PATENTS IECHNOLOGY CENTER R3700 U.S. PATENTS										
Examiner Initial	Patent Number		Issue Date	ue Date First Named Inventor		Subclass	Filing Date			
Acio	49	5,405,480	Apr. 11, 1995	Benzing	156	345	Jul 11, 1994			
	50	5,386,119	Jan. 31, 1995	Ledger	250	341.1	Mar. 25, 1993			
	51 5,376,224		Dec. 27, 1994	Zarowin	156	643	Feb. 27, 1992			
	52	5,375,064	Dec. 20, 1994	Bollinger	ger 364 474.3		Dec. 2, 1993			
	53	5,372,674	Dec. 13, 1994	Steinberg	156	643	Jan. 28, 1994 Aug. 20, 1993			
	54	5,364,496	Nov. 15, 1994	Bollinger	156 156	643				
	55	5,346,578	Sept. 13, 1994	Benzing		345	Nov. 4, 1992			
	58	5,344,524	Sept. 6, 1994	Sharma	156	630	Jun. 30, 1993			
	59	5,336,355	Aug. 9, 1994	Zarowin	156	345	Dec. 13, 1991			
	60 5,298,103 61 5,292,400 62 5,291,415		Mar. 29, 1994	Steinberg	156	345	Jul. 15, 1993			
			Mar. 8, 1994	Mumola	156	643	Mar. 23,1992			
			Mar. 1, 1994	Zarowin	364	474.3	Dec. 13, 1991			
	63	5,290,382	Mar. 1, 1994	Zarowin	156	345	Dec. 13, 1991			
	64	5,280,154	Jan. 18, 1994	Cuomo	219	121.52	Jan. 30, 1992			
	65	5,254,830	Oct. 19, 1993	Zarowin	219	121.43	May 7, 1991			
	66	5,238,532	Aug. 24, 1993	Zarowin	156	643	Feb. 27, 1992			
	67	5,200,595	Apr. 6, 1993	Boulos	219	121.52	Apr. 12, 1991			
	68	5,106,827	Apr. 21, 1992	Borden	505	1	Feb. 5, 1991			
	69	4,431,901	Feb. 14, 1984	Hull	219	121 PR	Jul. 2, 1982			
	70	4,431,898	Feb. 14, 1984	Reinberg	219	121 PG	Sept. 1, 1981			
	71	4,306,175	Dec. 15, 1981	Schleicher	315	111.21	Feb. 29, 1980			
Auro	72	4,050,408	Sept. 27, 1977	Beucherie	118	49.1	Nov. 19, 1975			
U.S. PATENT PUBLICATIONS										
Examiner Initial	-	Patent Application Pul	blication Number	Publication Date	Applicant					
	士									

RECEIVED Sheet 4 of 4 PATEMARK OF U.S. PATENT PUBLICATIONS HNOLOGY CENTER R3700 Patent Application Publication Number Publication Date **Applicant** Examiner Initial 18 PENDING U.S. PATENT APPLICATIONS Petition to **Application Number** Filing Date First Named Inventor Expunge? Examiner Initial Yes | No

!	! !					1					
			FOREIGN PAT	TENT DOCUMENTS							
Examiner Initial	Docum	ent Number	Publication Date	Country	Class	Subclass	1	ans- ion No			
Aus	DE 199 25	790 A 1	Dec. 7, 2000	Germany	H01	J37	X				
	OTHER DOCU	JMENTS (Incl	ude author (if any), ti	tle, publisher and place of pu	ublication, date and pe	rtinent pages)					
Examine	Allan	06		Date Considered	7-14-20	04					
				in conformance with MPEP communication to applicant.	609. Draw line throug	h citation if no	t in				
			in prior application in prior application		, 20, relied on , 20, relied on		-				

./	· ن	P	E.	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
1	 AUG	06	2002	0.24
12				بزرن



AUG 067		CO CALL	9	• •					Sheet_	<u>1</u> o	f <u> </u>	
Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Substitute) PATENT AND TRADEMARK OFFICE					CADD	Docket Number C-01000L						
•		MATION DISCLOSURE STA			Applicant/	Applicant/Patent Owner Jeffrey W. Carr						
		BY APPLICANT (Use several sheets if necessar)	v) 		_	Filing/Issue Date November 1, 2001 Group Art Unit 3749 1763						
				U.S. I	PATENTS	<u> </u>			., 			
Examiner Initial		Patent Number	Issue	e Date	Fi	First Named Inventor			Subclass		iling Date	
,												
U.S. PATENT PUBLICATIONS												
Examiner Initial		Patent Application Publication Number P			Publication	n Date	Applicant					
						-						
		PE	NDING	U.S. PA	TENT AP	PLICAT	IONS					
Examiner Initial		Application Number Filing I					First Named Inventor Expuns Yes 1				inge?	
			FOREI	GN PAT	ENT DO	CUMEN	rs .					
Examiner Initial		Document Number		ication Oate		Country Class			Subclass	Trans- lation Yes No		
,												
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)												
C.B. Zarowin et al. "Rapid Non-Contact, damage free shaping of Optical & Other Surfaces with Plasma Assisted Chemical Etching, 43rd Annual Symposium on Frequency Control" 1989, Pages 623-626.												

7-14-2004 Date Considered Examiner

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

, 20___, relied on under 35 USC §120. *1 = Copy not submitted because it was submitted in prior application SN _/_ , filed

*2 = Copy not submitted because it was submitted in prior application SN _/ , relied on under 35 USC §120. , filed

RECEIVED

AUG 2 7 2002

TC 1700

RECEIVED

AUG 1 3 2002

TECHNOLOGY CENTER R3700

Attorney Docket No.:CARR-01000US2 jlohr/carr/1000us2.011.wpd

MAY 6 7000 C.

RECEIVED

MAY 2 0 2003

Sheet ____ of ___

TECHNOLOGY CENTER R3700

Serial/Patent Number Attorney Docket Number Form PTO-1449 U.S. DEPARTMENT OF COMMERCE **CARR-01000US2** 10/002,483 PATENT AND TRADEMARK OFFICE (Substitute) Applicant/Patent Owner Jeffrey W. Carr INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Filing/Issue Date November 1, 2001 U.S. PATENTS Patent Number Issue Date First Named Inventor Class Subclass Examiner Filing Date Initial 3,830,428 Aug. 20, 1974 239 Feb. 22, 1973 Dyos 1. 2. 4,674,683 Fabel 239 May 6, 1986 Jun. 23, 1987 Ans 3. 5,932,293 Aug. 3, 1999 Belashchenko, et al. 427 Mar. 29, 1996 U.S. PATENT PUBLICATIONS **Publication Date Applicant** Patent Application Publication Number Examiner Initial PENDING U.S. PATENT APPLICATIONS Petition to Expunge? Filing Date First Named Inventor Examiner Application Number Yes | No Initial FOREIGN PATENT DOCUMENTS Trans-Class Subclass lation Examiner Document Number Publication Country Initial Date Yes | No OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) 7-14-2004 **Date Considered** Examiner *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *1 = Copy not submitted because it was submitted in prior application SN _/_ relied on under 35 USC §120. filed *2 = Copy not submitted because it was submitted in prior application SN _/_ relied on under 35 USC §120. filed 20

MIEM ! /Patent Num.
/002,483

r
Group Art Unit
2749 1763 700 Scrial/Patent Number Attorney Docket Number Form PTO-1449 S. DEPARTMENT OF COMMERCE CARR-01000US2 PATENT AND TRADEMARK OFFICE (Substitute) Applicant/Patent Owner Jeffrey W. Carr INFORMATION DISCLOSURE STATEMENT **BY APPLICANT** (Use several sheets if necessary) Filing/Issue Date November 1, 2001 U.S. PATENTS Examiner Patent Number Issue Date First Named Inventor Class Subclass Filing Date Initial U.S. PATENT PUBLICATIONS Patent Application Publication Number Examiner **Publication Date Applicant** Initial PENDING U.S. PATENT APPLICATIONS Petition to Application Number Examiner Filing Date First Named Inventor Expunge? Initial Yes | No FOREIGN PATENT DOCUMENTS Trans-**Document Number** Examiner **Publication** Country Class Subclass lation Initial Date Yes | No Luo W0 97/45856 12/4/1997 **WIPO** OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages) 7-14-2004 Examiner Date Considered *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *1 = Copy not submitted because it was submitted in prior application SN _/_ filed 20____, relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN _/ filed relied on under 35 USC §120.

RECEIVED

JUL 0 3 2003

TECHNOLOGY CENTER R3700